#### "As-you-go" instead of "after-the-fact": A network 1

#### approach to scholarly communication and evaluation 2

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12 Abstract: Scholarly research faces severe threats to its sustainability on multiple domains (access, incentives, reproducibility, inclusivity). We argue that "after-the-fact" research 13 14 papers do not help and actually cause some of these threats because the chronology of the 15 research cycle is lost in a research paper. We propose to give up the academic paper and 16 propose a digitally native "as-you-go" alternative. In this design, smaller pieces modules of 17 research outputs are communicated along the way and are directly linked to each other to 18 form a network of outputs that can facilitate research evaluation. This embeds chronology in 19 the design of scholarly communication and facilitates recognition of more diverse outputs 20 that go beyond the paper (e.g., code, materials). Moreover, using network analysis to 21 investigate the relations between linked outputs could help align evaluation tools with 22 evaluation questions. We illustrate how such ana modular "as-you-go" design of scholarly 23 communication could be structured and how network metricsindicators could be computed 24 to assist in the evaluation process, with specific use cases for funders, universities, and 25 individual researchers.

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Keywords: evaluation; network; communication; paper; metaresearch; decentralization;
 decentralisation; publishing

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#### 30 **1. Introduction**

31 Scholarly research faces-severe threats to its sustainability and has been said to face 32 a reproducibility crisis [1] amongst other pernicious problems such as access and exclusivity. 33 The underlying cause might be the way we have collectively designed the reporting and 34 rewarding of research (implicitly or explicitly). The current scholarly communication system 35 is primarily organized around researchers who publish static (digital) research papers in 36 scholarly journals. Many of these journals have artificial page limits (in the digital age), 37 which leads to artificial scarcity and subsequently increases the perceived prestige of such a 38 journal due to high rejection rates. (71% on average for APA journals in 2016; 39 perma.cc/Q7AT-RN5C). Furthermore, scholarly communication has become highly 40 centralized, where over 50% of all papers are published by as little as five publishers (over 41 70% for social sciences) [2]. Centralization has introduced knowledge discrimination, as 42 publishers are able to influence who can access scholarly knowledge, what gets published, 43 and allows for other single points of failure to arise with their own consequences (e.g., 44 censorship; https://perma.cc/HDX8-DJ8F). In order to have a sustainable scholarly research 45 system, severe changes are we consider it necessary to implement changes that provide a 46 more coherent answer to allprogress on multiple of these threats at once instead of 47 addressing them individually.

48 The<u>Systems</u> design of a system directly affects what the system and the people who 49 use it can do; scholarly communication still retains an analog based design affecting the 50 effectivity of the spread and production of knowledge dissemination (its goal).see also [3]). 51 Researchers and institutions are evaluated on where and how much papers they publish (as a 52 form of prestige). For example, an oft-used measure of quality is the Journal Impact Factor 53 (JIF) [34]. The JIF is also frequently used to evaluate the 'quality' quality' of individual 54 papers under the assumption that a high impact factor predicts the success of individual 55 articlespapers (this assumption has been debunked many times) [4-65-7]. Many other 56 performance metrics indicators in the current system (e.g., citation counts and h-indices) 57 resort to generic bean counting. Inadequate evaluation measures leave universities, individual 58 researchers, and funders (amongst others) in the dark with respect to the substantive questions 59 they might have about the produced scholarly knowledge. Additionally, work that is not aptly 60 captured by the authorship of papers receives is likely to receive less recognition despite 61 potential value (e.g., writing software code).) due to reward systems counting publications 62 instead of contributions (see also perma.cc/MUH7-VCA9). It is impossible unfeasible that a 63 paper-based approach to scholarly communication can escape the consequences of 64 paper'spaper's limitations.

65 A scholarly communication system is supposed to serve five functions, but can do so in a narrow sense as it currently does, or in a wider sense. These functions of the scholarly 66 communication system are (1) registration-, (2) certification-, (3) awareness-, and (4) 67 68 archival [8], and (5) incentives [9]. A narrow fulfillment of for example the registration 69 function would mean that findings that are published are registered, but not all findings are 70 registered (e.g., due to selective publication; [10]). Similarly, certification is supposed to occur through peer review, but peer review can exacerbate human biases in the assessment 71 72 of quality (e.g., statistical significance increasing the perceived quality of methods; [11]).

73 We propose an alternative design for scholarly communication based on 74 piecemealmodular research outputs with direct links between subsequent outputsmodules, 75 forming a network. Whereas a paper-based approach communicates after a whole research 76 cycle is completed, we propose to communicate piecemeal parts of the research cycle 77 on an "as-you-go" basis. These pieces modular communication was proposed two decades 78 ago [9,12–16]. These modules could be similar to sections of a research paper, but extend to 79 thingsmodular research outputs such as software or materials. An "as-you-go" 80 communication design We propose to implement this modular communication on an "asyou-go" basis and include direct links to indicate provenance. This respects the chronological 81 82 nature of research cycles and decreases the possibility for pernicious problems such as 83 selective publication and making predictions after results are known (HARKing) [717].

84 With a network structure between <u>piecemeal outputsmodules</u> of knowledge, we can 85 go beyond citations and facilitate different questions about single- or collectives of 86 knowledge. For example, how central is a single <u>outputmodule</u> in the larger network? Or: 87 How densely interconnected is this collective of knowledge <u>outputsmodules</u>? A network 88 could facilitate question-driven evaluation where <u>a metrican indicator</u> needs to be 89 operationalized per question, instead of <u>metricsindicators</u> that have become a goal in 90 themselves and become invalidated by clear cheating behaviors [<u>8,918,19</u>]. As such, we propose to make evaluation of research its own research process with question formulation,
 operationalizations, and data collection (i.e., constructing the network of interest).

93 **2. Network structure** 

94 Research outputs are typically <u>research</u> papers, which report on at least one research 95 cycle after it has occurred. The communicative design of papers embeds hindsight and its 96 biases in the reporting of results<del>, by being inherently reconstructive.</del> Moreover, this design 97 eliminates the verification of the chronology within a paper. On the other hand, the paper 98 encompasses so much that citations to other papers can indicate a tangent or a crucial link. 99 Additionally, the paper is a bottleneck for what is communicated: It cannot properly deal 910 with code, data, materials, etc.

101 When stages of research are communicated separately and as they occur, it changes 102 the communicative design to eliminate hindsight and allows more types of outputs to be 103 communicated-<u>as separate modules</u>. For example, a theory can be communicated first and 104 hypotheses communicated afterwardssecond, as a direct descendant of the theory. 105 Subsequently, a study design can be linked as a direct descendant of the hypotheses, materials 106 as a direct descendant of the design, and so on. This would allow for the incorporation of 107 materials, data, and analysis code (amongst others). In this structure, many nodesmodules 108 could link to a single nodemodule (e.g., replication causes many data nodesmodules to 109 connect to the same hypotheses nodemodule) but one nodemodule can also link to many other nodesmodules (e.g., when hypotheses follow from multiple theories or when a meta-110 111 analytic nodemodule is linked to many results nodesmodules).

112 Figure 1 shows atwo simple examples and how these different modular 113 research outputs (i.e., nodesmodules) would directly connect to each other. The connection 114 between these nodesmodules only shows the direct descendance and could still include 115 citations to other pieces of information. For example, a discussion section module could be 116 a direct descendant of a results sectionmodule and could still include citations to other 117 relevant findings. When one research cycle ends, a new one can link to the last node, 118 continuing the chain of descendancemodule, continuing the chain of descendance. 119 Incorporating the direct descendancy of these knowledge modules builds a different kind of 120 network than citation and authorship networks. As such, this network would be an addition 121 to these already existing citation and authorship networks; it does not seek to replace them.



Figure 1. A<u>Two</u> Directed Acyclic <u>Graph (DAGGraphs (DAGs)</u>) of connected research stages. The ordering is chronological (top-bottom) and therefore <u>nodesmodules</u> that are situated below one another cannot refer upwards. <u>Panel A shows a less complex network of</u> <u>modules; Panel B shows a more extensive network of modules.</u>

Given that these <u>piecemealmodular</u> outputs would be communicated as they occur, chronology is directly embedded in the communication process with many added benefits. For example, preregistration of hypotheses tries to ensure that predictions precede observations, which would be embedded with <u>piecemealmodular</u> communication where predictions are communicated when they are made<sub>7</sub> [20]. Moreover, if <u>researchmodular</u> outputs are communicated as they are produced, selective reporting (i.e., publication bias) is reduced by having already communicated the data before results are generated.

135 With immutable append-only registers, the chronology and content integrity of these 136 outputs can be ensured and preserved over time. This can occur efficiently and elegantly with 137 the Dat protocol- (without a blockchain; perma.cc/GC8X-VQ4K). In short, the Dat protocol 138 is a peer-to-peer protocol (i.e., decentralized and openly accessible) that provides non-139 adjustable timestamps to each change that occurs within a folder, which is given a permanent 140 unique address on the peer-to-peer Web (36^64 addresses possible) [1021]. The full details, 141 implications, and potential implementations of this protocol for scholarly communication fall 142 outside of the scope of this paper- (an extended technical explanation of the application of 143 the Dat protocol can be found here https://dat-com-chris.hashbase.io).

## 144 2<del>.1 Metrics</del>

145 A continuous and network based communication system could take a wider 146 interpretation of the scholarly functions it is supposed to serve [8,9]. Registration would 147 become more complete, because selective publication based on results is preempted by 148 embedding communication before any results are known. Certification is improved by 149 embedding the chronology of a research cycle into the communication of research, ensuring 150 that predictions precede results [20]. Awareness is improved by using open by design 151 principles, whereas awareness is now limited by financial means to access scholarly papers 152 [22]. Archival would not only be simplified with peer-to-peer protocols, but also allows 153 anyone to create a copy and could result in excessive redundancy under the Lots Of Copies 154 Keeps Stuff Safe principle [23]. In the next sections, we extend on how incentives could be 155 adjusted in such a network structure, to facilitate both the evaluation of research(ers) and the 156 planning of research. 157 **3. Indicators** 

With a chronological ordering of various <u>modular</u> research outputs and their parent relations, a directional adjacency matrix can be extracted for network analysis. Table 1 shows the directional adjacency matrix for Figure 1. Parent <u>nodesmodules (i.e., modules)</u> must precede the child <u>nodesmodules</u> in time, therefore only  $\frac{J(J-1)}{2}$  of cells of the adjacency matrix are

162 filled in, where *J* is the number of research outputsmodules.

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Table 1. Directional adjacency matrix for Figure 1. Nodesmodules are ordered according to
 time (top-bottom in Figure 1). Rows indicate the source nodemodule, columns indicate the
 target nodemodule.

	node01	node02	node03	node04	node05	node06	node07	node08	node09
	-	1	0	1	0	0	0	0	0
node01									
node02	-	-	1	0	0	0	1	0	0
node03	-	-	-	0	0	0	0	0	0
node04	-	-	-	-	1	1	0	0	1
node05	-	-	-	-	-	0	0	1	1
node06	-	-	-	-	-	-	1	0	0
node07	-	-	-	-	-	-	-	1	0
node08	-	-	-	-	-	-	-	-	0
node09	-	-	-	-	-	-	-	-	-

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With a directional adjacency matrix, countless network <u>metricsindicators</u> can be calculated that could be useful in research evaluation depending on the questions asked. However, not all network <u>metricsindicators</u> are directly applicable because a time based component is included in the network (i.e., new outputs cannot refer to even newer outputs). Below, we propose some basic network <u>metricsindicators</u> for evaluating past and future research outputs.

174 Networks metrics indicators could be used to evaluate the network as it exists now or 175 how it developed in the past (i.e., backward-looking evaluation). For example, in-degree 176 centrality, a could be used to identify highly interconnected modules of information. This 177 measure indicating indicates how many child nodes modules are spawned by a parent node 178 can be used as a measure to quantifymodule and indicates how much new work a 179 researcher's researcher's output stimulates new knowledge producing efforts (e.g., 180 node04module04 in Table 1 would have an in-degree centrality of three). To contextualize 181 this, an example could be that a data node spawnsmodule could spawn four results 182 sectionsmodules, hence has an in-degree centrality of four. This measure would look only 183 at one-generation of child nodes modules, but other measures extend this to incorporate 184 multiple generations of child nodes (e.g., 'Katz centrality') [11] (pp. 206-210).modules. 185 Katz centrality extends this and computes the centrality over N generations of child modules 186 [24] whereas traditional in-degree centrality calculates centrality for N = 1 generations. For 187 example, two data nodes modules that each spawn five results nodes modules would have 188 the same in-degree centrality, but could have different Katz centrality if only one of those 189 two networks has a third-generation of nodes included modules included. If multi-190 generation indicators are relevant, Katz centrality measures could provide operationalizations 191 of such measures.

192 Another set of network metrics indicators could be used to evaluate how the network 193 would change when new nodes modules are added in the future (i.e., forward-looking 194 evaluation). For example, a researcher who is looking for ways to increase the density in their 195 own network, could ask the question ""If I would add one nodemodule that has *Nk* parents, 196 which addition would increase the density the most?"?" Subsequently, the researcher could 197 inspect the identified connections for inspiration and feasibility. Complexity of the new 198 nodemodule could be increased by increasing the number of parent nodesmodules to 199 connect (*Nk* in the question; e.g., five instead of two). Potentially, this could facilitate 200 creative thinking, where Nk is gradually increased over time to increase the complexity of 201 the issue from a network perspective.

3The indicators we highlighted here are simple proposals. Other indicators from
network analysis and graph theory could be applied to the study of knowledge development
when a network structure is available and we hope to see suggestions to answer questions
about the network. These kinds of analyses are already done within citation networks (e.g.,
[25]) and authorship networks (e.g., [26]), but we cannot do so with the provenance or
planning of knowledge generation in the current scholarly communication system.

#### 208 <u>4</u>. Use cases

209 We describe three use cases of network based evaluation to contextualize the ideas 210 proposed above. For each use case, we first provide a general and non-exhaustive overview 211 of the possibilities with network based evaluation. Subsequently, we specify a scenario for 212 that use case, how an evaluation question flows from that scenario, how a metrican indicator 213 to answer that question could be operationalized, and how that metricindicator could inform 214 the evaluation process. With these use cases we hope to illustrate that network based 215 evaluation wouldcould align better with the implicit evaluation criteria already present in 216 common research evaluation scenarios.

### 217 3.1 Funders

218 Funders of scholarly research often have certainspecific aims when distributing their 219 financial resources amongst researchers. Currently, fundersFunders often use generic 220 ""one size fits all" metrics" indicators to evaluate the quality of researchers and research 221 (e.g., JIF, h-index, citation counts). Given that fundersfunding calls often have specific aims 222 with funding calls, these funding calls could formbe used as the basis of research 223 evaluation. Potentially, given that network analysis allows different questions to be 224 asked, funders might also change the aims of funding calls to shift towards more 225 specific goals than if we move beyond these generic notions of "innovation" or 226 "discovery".measures.

227 One specific<u>A</u> scenario is that of<u>could exist where</u> a funding agency calling for 228 proposals<u>wants</u> to fund researchers to extend an already existing and interconnected

- research line. This is not an implausible scenario, where funding agencies aim to fund several
- 230 million dollars (or similar in other currencies) in order to increase follow through in research

lines. A specific example might be the Dutch national funding agency <u>""</u>Vici" funding
scheme, which aims to fund <u>""</u>senior researchers who have successfully demonstrated the
ability to develop their own innovative lines of research <u>""</u> (<u>https://perma.cc/GB83-RE4J</u>).

234 Whether researchers who submitted proposals actually have built a connected 235 research line could be evaluated by looking at how interconnected each 236 researcher's researcher's personal network of modules is. Let us assume that a research line 237 here would mean that new research efforts interconnect with previous efforts by that same 238 researcher (i.e., building on previous work). Additionally, we could assume that building a 239 research line means that the research line becomes more present in the network over the 240 years. Building a research line thus could be reformulated into questions about the network 241 of directly linked output and its development over time.

242 Operationalizing the concept 'research lineline' as increased interconnectedness of 243 outputsmodules over time, we could compute the network density of an applicant over 244 the last five years to inform how the applicant's research aligns with the aim of the 245 funding schemeper year. One way of computing density would be to tally the number of 246 links and divide them by the number of possible links. By taking snapshots of the network of 247 outputs of that researcher in for example the last five years on January 1st, we could compute 248 a metrican indicator to inform us about the development of the researcher's researcher's 249 network of outputs.

250 The development of network density over time could help inform the evaluation, but 251 one measure could hardly be deemed the only decision criterion. As such, it only provides an 252 indication as to whether an applicant aligns with the aim of the funding agency. Other 253 questions would still need to be answered by the evaluation committee. For example, is the 254 project feasible or does the proposal extend the previous research line? Some of these other 255 questions could also be seen as questions about the future development of the network and 256 investigated, supplying a set of metrics with whichserve as their own questions to 257 evaluateinvestigate the applicant on.

#### 258 3.2 Universities

259 Universities can use research evaluation for the internal allocation of resources and 260 to hire new scientists. <u>AAs such, a research group within a university could useapply</u> network 261 analysis to assess how (dis)connected a group's outputsgroup's modules are or how their 262 group compares to similar groups at other institutions. Using network metricsindicators, it 263 would also could become possible to assess whether a job applicant fulfills certain criteria, 264 such as whether their outputsmodules connect to existing outputsmodules of a group. If a 265 university wants to stimulate more diversity in research background, network analysis could 266 also be used to identify those who are fartherfurther removed from the current researchers 267 at the institution. Considering that universities are often evaluated on the same generic 268 metricsindicators as individual researchers (e.g., JIF) in the rankings, such new and more 269 precise evaluation tools might also help specify goals of a university and reduce the rat 270 race. Like the use case for funders, network based evaluation allows universities to
 271 focus on question- and mission aligned evaluation.<u>university goals.</u>

Extending the scenario above, imagine a research group that is looking to hire an assistant professor with the aim of increasing connectivity between the group'sgroup's members. The head of the research group made this her personal goal in order to facilitate more information exchange and collaborative potential within the group. By making increasing connectivity within the group an explicit aim of the hiring process, it can be incorporated into the evaluation process.

In order to achieve the increased connectivity within the research group, the head of the research group wants to evaluate applicants relatively but also with an absolute standard. Relative evaluation <u>facilitatescould facilitate</u> applicant selection, but absolute evaluation <u>facilitatescould facilitate insight into</u> whether any applicant <u>achieves the goalis sufficient</u> to begin with. In other words, relative evaluation here asks which is the best applicant, whereas absolute evaluation asks whether the best applicant is good enough. <u>These decision</u> <u>criteria could be preregistered in order to ensure a fair selection process.</u>

285 Increased connectivity cancould be computed as a difference measure of the research 286 group's group's network density with and without the applicant. In order to take into account 287 the number of produced outputsmodules, the computed density takes could take into 288 account the number of outputs modules of an applicant. Moreover, the head stipulates that 289 the minimum increase in network density needs to be five percentage points. To evaluate 290 applicants, each gets a score that is made up of the difference between the current network 291 density and the network density if they were hired. For example, baseline connectivity within 292 a group might be 60%, hence, the network density has to be at least 65% for one of the 293 applicants to pass the evaluation criterium.

294 If the head of the research group relied purely on anthe increase in network density 295 metricas an indicator without further evaluation, a hire that decreases morale in the research 296 group could easily be made. For example, it is reasonable to assume that critics of a research 297 group often link research outputs in a criticism of their work. If such a person would apply for a job within that group, the density within the network might be increased but 298 299 subsequently result in a more hostile work climate. Without evaluating the content of the 300 applicant that increases the network density, it is hardwould be difficult to assess whether 301 they would actually increase information exchange and collaborative potential instead of 302 stifling it.

303 3.3 Individuals

Individual researchers <u>cancould</u> use networks to better understand their research outputs and plan new research efforts. For example, simply visualizing a network of outputs could <del>already</del> prove a useful tool for researchers to view relationships between their outputs from a different<del>, more coherent</del> perspective. Researchers looking for new research opportunities could also use network analysis to identify their strengths, by comparing whether specific sets of outputs are more central than others in a larger network. For example, a researcher who writes software for their research might find that their software is more central in a larger network than their <u>findingstheoretical work</u>, which could indicate a fruitful specialization.

One scenario where network evaluation tools could be valuable for individual researchers is in order to optimize resource allocation, needs to be optimized. A researcher might want to revisit previous work and conduct a large replication, but only has funds for one such replication. Imagine a researcher who wants to identify an effect that they previously studied that and which has been central to their new research efforts. Identifying which effect to replicate is intended by this researcher as a safeguard mechanism to prevent further investment in new studies, if a fundamental finding proves to not be replicable.

In this <u>resource allocation</u> scenario, the researcher aims to identify the most central finding in a network. The researcher has conducted many studies throughout their career and does not want to identify the most central finding in the entire network of outputs over the years, but only of the most recent domain <u>they'vethey've</u> been working in. As such, the researcher takes the latest output and traces all the preceding outputs automatically <u>to five</u> <u>generations</u>, to create a subset of the full network and to incorporate potential work not done by themselves.

Subsequently, by computing the Katz centrality of the resulting subnetwork, the researcher can compute the number of outputs generated by a finding and how many outputs those outputs generated in return. By assigning this value to each <u>nodemodule</u> in the network, the researcher can identify the most central <u>nodesmodules</u>. However, these <u>nodesmodules</u> need to be investigated subsequently in order to see whether they are findings or something else (e.g., theory; we assume an agnostic infrastructure that does not classify <u>nodesmodules</u>).

334 CentralityKatz centrality can be a useful measure to identify which finding to 335 replicate in a multi-generational network, but would fail to take into account what replications 336 have already been conducted. When taking the most recent output and looking at its parent(s), 337 grandparent(s), etc., this only looks at the lineage of the finding. However, the children of all 338 these parents are not taken into account in such a trace. As such, the researcher in our scenario 339 might identify an important piece of research to replicate, but neglect that it has already been 340 replicated. Without further inspection of the network for already available replications, 341 resource allocation might be suboptimal after all.

#### 342 **4. Discussion**

We propose to communicate research in <u>piecemeal "modular "as-you-go" units</u> outputs (e.g., theory followed by hypotheses, etc.) instead of large "<u>"after-the-fact</u>" papers. <u>PiecemealModular</u> communication opens up the possibility of a network of knowledge to come into existence when these pieces are linked (e.g., results descend from data). This network of knowledge would be supplementary to traditional citation networks and could facilitate new evaluation tools that are based in the question of interest <u>rather</u> than generic ""one size fits all" <u>evaluation metrics</u>" <u>indicators</u> (e.g., Journal Impact Factor, citation counts, number of publications). Given the countless questions and operationalizations possible to evaluate research in a network of knowledge, we hope this would increase the focus on <u>metricsindicators</u> as a tool in the evaluation process instead of <u>metricsindicators</u> being the evaluation process itself [<u>1227,28</u>].

354 We highlighted a few use cases and potential metrics indicators for funders, research 355 collectives, and individuals, but recognize that we are merely scratching the surface of 356 possible use cases and implementations of network analysis in research evaluation. The use 357 cases presented for the various target groups (e.g., universities) can readily be transferred to 358 suit other target groups (e.g., individuals). Award committees might use critical path analysis 359 or network stability analysis to identify key hubs in a network to recognize. Moreover, 360 services could be built to harness the information available in a network to identify people 361 who could be approached for collaborations or to facilitate the ease with which such network 362 analyses can be conducted. Future work could investigate more use cases, qualitatively 363 identify what researchers (or others) would like to know from such networks, and how 364 existing network analysis methods could be harnessed to evaluate research and better 365 understand its development over time. Despite our enthusiasm for network based evaluation, 366 we also recognize the need for exploring the potential negative sides of *it*-this approach. 367 Proximity effects might increase bias towards people already embedded in a network and 368 might exacerbate inequalities already present. Researchers might also find ways to game 369 these indicators, which warrants further investigation.

Communicating scholarly research in smaller "modular "as-you-go" units" outputs 370 371 might also address other threats to research sustainability. In piecemeal "modular "as-you-372 go"" communication, selective publication based on results would be reduced because data 373 would be communicated before results are known. Similarly, adjusting predictions after 374 results are known would be reduced because predictions would be communicated before data 375 are available (i.e., preregistration by design). Replications (or reanalyses) would be 376 encouraged both for the replicated (the replicated nodemodule gets more child 377 nodesmodules, increasing its centrality) and the replicator (time investment is lower due to 378 only having to add a data nodemodule that is linked to the materials nodemodule of the 379 replicated). Self-plagiarism could be reduced by not forcing researchers to rehash the same 380 theory across papers that spawn various predictions and studies. These various issues 381 (amongst other out of scope issues) could be addressed jointly instead of each issue vying for 382 importance for researchers, funders, or policy makers (amongst others).

To encourage culture- and behavioral change, <u>""after-the-fact</u>" papers and piecemeal "modular "as-you-go" outputs could co-exist (initially) and would not require researchers to make a zero-sum decision. Copyright is often transferred to publishers upon publication (resulting in pay-to-access), but only after a legal contract is signed. Hence, preprints cannot <u>be</u>legally <u>be</u> restricted by publishers when they precede a copyright transfer 388 agreement. However, preprints face institutional and social opposition [1329], where 389 preprinting could exclude a manuscript for publication depending on editorial policies or due 390 to fears of non-publication or scooping (itself a result of hypercompetition). In recent years, 391 preprints have become more widely accepted and less likely to exclude manuscript 392 publication (e.g., Science accepts preprinted manuscripts) [1430]. Similarly, sharing 393 piecemeal "modular "as-you-go" outputs wouldcould not be legally be restricted by 394 publishers and couldcan ride the wave of preprint acceptance, but might also face 395 institutional or social counterchange similar to the history of preprints. Researchers could 396 communicate ""as-they-go" and compile ""after-the-fact" papers, facilitating co-existence 397 and minimizing negative effects on career opportunities. Additionally, "as-you-go" modules 398 could be used in any scholarly field where the provenance of information is important to 399 findings and is not restricted to empirical and hypothesis driven research per se.

400 As far as we know, piecemeal "modular "as-you-go-" scholarly communication 401 infrastructure that includes direct links between modules has not yet been available to 402 researchers in a sustainable way. The onlyOne of the few thought styles that has 403 facilitated ""as-you-go" reporting in the past decade is that of Open Notebook Science 404 (ONS) [1531], where researchers share their day-to-day notes and thoughts. However, ONS 405 has remained on the fringes of the Open Science thought style and has not matured, limiting 406 its usefulness and uptake. For example, ONS increases user control because communication 407 occurs on personal domains, but does not have a mechanism of preserving the content. 408 Considering reference rot occurs in seven out of ten scholarly papers containing Weblinks 409 [1632], concern for sustainable ONS is warranted without further development of content 410 integrity. Moreover, ONS increases information output without providing more possibilities 411 of discovering that content.

412 Digital infrastructure that facilitates "<u>"</u>as-you-go" scholarly communication is now 413 feasible and sustainable. Feasible because the peer-to-peer protocol Dat provides stable 414 addresses for versioned content and it ensures content integrity across those versions. 415 Sustainable because preservation in a peer-to-peer network is relatively trivial (inherent 416 redundancy, anyone can rehost information and libraries could be persistent hosters) and 417 removes (or at least reduces) the need for centralized services in scholarly communication. 418 Consequently, this decreases the need for inefficient server farms of centralized services [17]419 by decentralizing services.33] by decentralizing services. However, preservation is a social 420 process that requires commitment. Hence, a peer-to-peer infrastructure would require 421 committed and persistent peers (e.g., libraries) to make sure content is preserved. Another 422 form of sustainability is knowledge inclusion, which is facilitated by a decentralized network 423 protocol that is openly accessible.

Finally, we would like to note that communication was not instantly revolutionized by the printing press but changed society over the centuries that followed. The Web has only been around since 1991 and its effect on society is already pervasive, but far from over. We hope that individuals who want change do not despair by feelings of inertia in scholarly 428 communication throughout recent years and further entrenching of positions and interests.
429 We remain optimistic for substantial change to occur within scholarly communication that
430 improves the way we communicate research and hope these ideas contribute in working
431 towards that.

## 432 5. <u>Conclusion</u>

The current scholarly communication system based on research papers is "after-the fact" and can be supplemented by a modular "as-you-go" based communication system. By

- 435 doing so, the functions of a scholarly communication system can be interpreted more widely,
- 436 making registration complete, certification part of the process instead of just the judgment of
- peers, access to everything for everyone based on peer-to-peer protocols, simplify archival,
- 438 and facilitate incentive structures that could align researcher†<sup>TM</sup>s interests with that of
- 439 <u>scholarly research.</u>

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